



**Director**: Victoria Sutton, MPA, PhD, JD; vickie.sutton@ttu.edu

Assistant Director: Brie Sherwin, MS,

JD; brie.sherwin@ttu.edu

**Student Fellows**: Tony Nguyen, JD 2012; William Hull, JD 2011; Veronica Sanders JD 2011

Texas Tech University School of Law 1802 Hartford Ave. Lubbock, TX 79409 Phone (806) 742-3990 Fax (806) 742-1629

For a digital copy of this brochure, visit: http://www.ttu.edu/biodefense/core.php

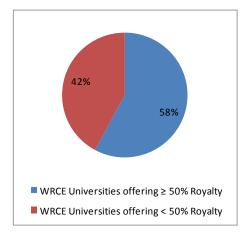
Who owns the Intellectual Property that I create?



Q: Who owns the Intellectual Property that I create?

A: It depends on what kind of Intellectual Property you create. In general, the University owns patents created by an employee, but the employee retains rights to copyrighted material. See table on reverse for more detail.

## **Inventor Royalty of WRCE Universities**



While Universities of the WRCE generally retain ownership to patents created during employee research, the inventor is often entitled to a royalty payment based on income created beyond the cost of research and patent filing. WRCE member universities further adhere to the Intellectual Property Agreement and Management Plan for the Collaborators with the Regional Center of Excellence.

Generally, the author of a copyrighted work, like a textbook or article, is entitled to all the income generated from the work.

However, many universities have exclusions for software because software can often be eligible for both copyright protect and patent protection.

DISCLAIMER: This information in not intended to be legal advice, which may turn on specific facts. Readers should seek specific legal advice before acting with regard to this information.

## Employee Intellectual Property Agreements by University

School	Patent Ownership	Copyrighted Works Ownership	Royalty						Source	Reference	
	•	•	Net Royalty	Individual	University	Dept.	Unit or College	Graduate Education	Technology Office		
			0-\$100k	50%	30%	10%	10%	0%	0%	Texas Tech Operating Policy	http://www.depts.ttu.edu/
Texas Tech	University	Author unless institutional work for hire	\$100k-\$500k	\$50k plus 40% over \$100k	30%	15%	15%	0%	0%	and Procedure Ch. 74.04	opmanual/OP74.04.pdf
			>\$500k	\$210k plus 30% over \$500k			Board of Regents	0%	0		
		Author if independently authored, must		1						Baylor College of Medicine Policy	http://www.bcm.edu/blg/
Baylor College of Medicine	University	provide royalty-free license to College	Any Amount	50%	25%	25%	0%	0%	0%	on Patents and Other IP Ch. 20.8.1	BAYLOR PATENT POLICY.pdf
		provide regions, and account to coming		23,3			0.10				http://www.professor.rice.edu/
	University, but if University does										professor/Patent and Software
Rice University	not pursue patent, Inventor	Author unless separate contractual agreement	Any Amount	37.50%	30%	14%	0%	18.50%	0%	Rice University Policy No. 333, 334	Policies.asp?SnID=512462910
Tube only eisky	PATENTS BEFORE JUNE 1, 2006									race chivelenty rolley riol 555,55 r	101101031113 512102510
			Any Amount	42.50%	39.50%	0%	0%	0%	18%		
		Author if independently authored, must	7 tilly 7 tilloulit	42.3070			JUNE 1, 2006	070	1070	Texas A&M University System	http://policies.tamus.edu/17-01.pdf
Texas A&M University*	University	provide royalty-free license to College	Any Amount	37.50%	37.50%	0%	0%	0	25%	Policies and Regulations Ch. 17.01	http://poncies.tamas.edu/17-01.pdf
Texas Acti Offiversity	Oniversity	provide royalty-nee license to College	COPYRIGHTABLE WORKS AFTER JUNE 1, 2006						Folicies and Regulations Cir. 17.01	1	
			Any Amount	40%	40%	0%	0%	0%	20%		
			First \$100k	\$1k plus 50%	50%	0%	0%	0%	0%	Texas Southern University Manual	http://www.tsu.edu/PDFFiles/
	I I	A 4b 1 1 1- 1		40%	60%	0%	0%	0%	0%	· · · · · · · · · · · · · · · · · · ·	
m	University, unless invention offers	Author unless work specifically	Second \$100k							of Administrative Policies	Admin/MAPP/General%20Counsel/
Texas Southern University	little use to students	funded by TSU or work for hire	Third \$100k	30%	70%	0%	0%	0%	0%	and Procedures 08.02.01	08.02.01% 20IP% 20Policy 001.pdf
			>\$300k	25%	75%	0%	0%	0%	0%	77.	1
	University, unless rare contract	Author if written work, University								University of Houston Faculty	http://www.uh.edu/fs/
University of Houston	for sponsored research	if A/V work or software	Any Amount	40%	40%	13%	7%	0%	0%	Handbook Ch. 21.08	2007 Faculty Handbook security.pdf
										University of the Incarnate	www.uiw.edu/facultyhandbook/documents/
University of the Incarnate Word	University	Author unless software	Any Amount	50%	50%	0%	0%	0%	0%	Word Faculty Handbook Ch. 11	facultyhandbook52010.doc
	University, but if University does not										
	pursue patent, Inventor with										
University of North Texas	royalty-free license to University	Author unless software	Any Amount	50%	50%	0%	0%	0%	0%	University of North Texas Policy 16.13.1	http://policy.unt.edu/policy/16-13-1
	University, unless institute's president									University of Texas Rules and Regulations	http://www.utsystem.edu/bor/rules/
University of Texas*	elects not to assert interest	Author unless software	Any Amount	50%	50%	0%	0%	0%	0%	of the Board of Regents Rule 90102	90000Series/Complete90000.pdf
										Stephen F. Austin Faculty &	
Stephen F. Austin State University	University	Author	Any Amount	50%	50%	0%	0%	0%	0%	Staff Policy Manual Ch. 20	http://www.sfasu.edu/policies/intellec_prop.asp
	University unless does not	Author unless developed with								New Mexico State University	http://www.nmsu.edu/manual/documents/
New Mexico State University	have significant university use	significant use of university resources	Any Amount	50%	0%	16.66%	16.66%	0%	16.66%	Policy Manual Ch. 5.94.11	nmsu-policy-manual091710links.pdf
	-									University of New Mexico	
University of New Mexico	University	Author unless contractual agreement otherwise	Any Amount	40%	20%	0%	0%	0%	40%	Faculty Handbook Ch. E70	http://handbook.unm.edu/E70.html
•		-								Louisiana State University	http://www.lsusystem.edu/userfiles/
Louisiana State University*	University	University	Any Amount	40%	60%	0%	0%	0%	0%	Permanent Memoranda PM 16, PM 64	file/PMs/pm-16rev1.pdf
3	,	Author if communication								,	http://tulane.edu/wfmo/
		medium (book, article), University								Tulane University Staff	employee-support/upload/
Tulane University	University	if functional medium like software	Any Amount	50%	50%	0%	0%	0%	0%	Handbook Section 6E	Current-Staff-Handbook-revised-06152010.pdf
				23,3			0.10				https://stillwater.sharepoint.okstate.edu/
		Author unless extraordinary								Oklahoma State University Policy	Policies/Shared%20Documents/
Oklahoma State University*	University	use of University resources	Any Amount	50%	30%	20%	0%	0%	0%	and Procedures 1-0202, 1-0201	Intellectual%20Property.pdf
Oklanonia State Chiversity	Oliveisity	use of entirelsity resources	7 tilly 7 tilloulit	3070	3070	2070	0,0	070	070	University of Oklahoma	intellectual/020110petry.pur
University of Oklahoma	University	Author	Any Amount	35%	30%	10%	10%	0%	15%	Faculty Handbook Ch. 3.27.2	http://www.ou.edu/provost/ouncfhb.pdf
Oniversity of Oktanolia	Oniversity	Author	First \$200k	50%	50%	0%	0%	0%	0%	University of Arkansas Faculty	nteps/ www.ou.cuu/piovost/ouncilib.pur
University of Arkansas	University	Author unless software	>\$200k	35%	65%	0%	0%	0%	0%	Handbook Section 7-4, 7-15	http://www.ualr.edu/provost/relatedinfo.pdf
Olliveisity of Afkansas	University	Author unless software	>\$\p\2\U\K	33%	0.5%	U%0	U%	U%	U%	, , , , , , , , , , , , , , , , , , , ,	http://www.uair.edu/piovost/feiatedinfo.pdf
	I In irranaity, yan laga yymitt									Arizona State University Board	https://azregents.asu.edu/rrc/Policy%20Manual/
A single Charles II single St	University unless written	A		250/	750/	00/	00/	00/	00/	of Regents Policy Manual	6-908-Intellectual% 20Property% 20Policy.pdf
Arizona State University	agreement otherwise	Author	Any Amount	25%	75%	0%	0%	0%	0%	Ch. VI, Item 6-908	
H	University unless patent	Author unless work is explicitly sponsored	l	2504	50%	1.50/	00/	00/	00/	H : : CONC : B :	http://www.ucop.edu/ott/genresources/ policy_pdf/patentpolicy08.pdf
University of California	is not pursued	or commissioned by University	Any Amount	35%	50%	15%	0%	0%	0%	University of California Policy	policy_pdi/patentpolicy08.pdf

- IP policies for private companies and research labs of WRCE are not publicly available. These policies are usually listed in an employee handbook. See your local HR manager for a copy.
- Highlights of WRCE Intellectual Property Agreement regarding joint inventions:
  - inventing parties must have written permission of other inventing parties before commercialization of joint inventions [Sec.5.1] and are obligated to supply other inventing parties with a copy of any manuscript prior to submission for publication [Sec. 9.3]
  - if parties cannot agree on bringing an suit for patent infringement, one of the parties may bring a suit (bearing the cost of litigation and retaining ownership of any monies resulting from such suit) [Sec. 8.1]
  - each party will use reasonable efforts to maintain other party's confidential information for a period of five years from receipt [Sec. 9.1]
- \*Universities with multiple campuses (i.e. University of Texas at Austin and University of Texas Health Science Center at Houston) adhere to the same system-wide IP policy.

PLEASE NOTE: Federal law mandates that a patent application must be filed within one year of

- (1) printed publication,
- (2) public use, or
- (3) sale

of the invention to be patent eligible. *See* 35 U.S.C. 102(b). If your University opts not to pursue a patent on your invention but allows you to do so independently, you must file a patent application within this one year time period to avoid loss of patentability.